

## Regular Expressions

An *alphabet* is any nonempty finite set. One alphabet you probably know well is the modern English alphabet  $\{a, b, \dots, z\}$ . For this problem, we'll use the much simpler alphabet  $\Sigma = \{0, 1\}$ . A *string* is a finite sequence of symbols from the alphabet; for example, in our simple alphabet, possible strings are 0, 01010, 111, etc. The *length* of a string is the number of symbols the string contains. Note that it is possible to have a string of length zero, called the *empty string*. Since it is hard to see a string with no symbols, we will denote the empty string with the symbol  $\varepsilon$ . Finally, a *language* is a set of strings over a given alphabet.

In arithmetic, we can use the operations  $+$  and  $\times$  to build up expressions such as  $(5 + 3) \times 4$ . The value of the arithmetic expression is the number 32. Just as  $+$  and  $\times$  are operations in arithmetic, we can use operations called *regular operations* to build expressions called *regular expressions* that describe a language. Given two languages  $A$  and  $B$ , these regular operations are:

- Union, denoted  $\cup$ .  $A \cup B$  is the set of all strings that are in  $A$  or  $B$  (or possibly both).
- Concatenation, denoted  $\circ$ .  $A \circ B$  is the set of strings  $xy$ , where  $x$  is any string in  $A$  and  $y$  is any string in  $B$ . For simplicity, we can often leave the  $\circ$  symbol out and simply write  $AB$ .
- Star, denoted  $*$ .  $A^*$  is the set of all strings  $x_1x_2\dots x_k$  for all  $k \geq 0$ , where each  $x_1, x_2, \dots, x_k$  is in  $A$ .

Let us provide some simple examples of regular expressions. Suppose we have two languages  $A = \{0\}$ ,  $B = \{1\}$ . Then  $A \cup B = \{0, 1\}$ ,  $A \circ B = \{01\}$ , and  $A^* = \{\varepsilon, 0, 00, 000, \dots\}$ . Remembering our simple alphabet  $\Sigma = \{0, 1\}$ ,  $\Sigma^*$  is the regular expression describing the language of all possible strings over  $\Sigma$ . Similarly, the regular expression  $1\Sigma^*$  (short for  $1 \circ \Sigma^*$ ) describes the language of all strings starting with a 1.  $\Sigma\Sigma\Sigma$  consists of all strings of length 3.

1. Concisely interpret these regular expressions:

- (a)  $0^*10^*$ .
- (b)  $(\Sigma\Sigma)^*$ .
- (c)  $1^*(0001^*)^*$ .

2. Find regular expressions for the following languages:

- (a)  $\{w : w \text{ has at least one } 1\}$ .
- (b)  $\{w : w \text{ starts and ends with the same symbol}\}$ .
- (c)  $\{w : \text{the sum of the digits of } w, \text{ minus the first digit, is even}\}$ .

## Generating Functions

*"A generating function is a clothesline on which we hang up a sequence of numbers for display."*

– Herbert Wilf, *generatingfunctionology*

To be precise, the *generating function* for the sequence  $a_n$  is the formal power series

$$\sum_{n=0}^{\infty} a_n x^n = a_0 + a_1 x + a_2 x^2 \dots$$

where the coefficient of  $x^n$  is  $a_n$ . (You might be worried about whether a generating function converges when you evaluate it at a specific value of  $x$ , but we won't be evaluating  $x$  for any values.)

For example,

$$\frac{1}{1-x} = 1 + x + x^2 + x^3 + \dots$$

is the generating function for the sequence  $(1, 1, 1, \dots)$ , defined by the relation  $a_n = 1$ . Clearly, we like the expression on the left much better than the one on the right, because of its lack of reference to infinity; we call such a form *closed form*. The right hand side is called its *series expansion*.

### 3. Generating Function Basics

- (a) Find the generating function for the sequence

$$(1, 0, 3, 0, 9, 0, 27, \dots)$$

where  $a_{2n} = 3^n$ ,  $a_{2n+1} = 0$ , and prove that your answer is correct.

- (b) Let  $f(x) = \sum_{n=0}^{\infty} a_n x^n$  and  $g(x) = \sum_{n=0}^{\infty} b_n x^n$  be the generating functions of  $a_n$  and  $b_n$ , respectively, and let their product be

$$(f \cdot g)(x) = (a_0 + a_1x + a_2x^2 + \dots)(b_0 + b_1x + b_2x^2 + \dots) = c_0 + c_1x + c_2x^2 + \dots$$

Find a closed-form formula for the coefficients  $c_n$ . (That is, find  $c_n$  as a function of the sequences  $a_n$  and  $b_n$ )

- (c) Let  $A_n$  be the number of ways to make change for  $n$  cents using pennies (1 cent), nickels (5 cents), dimes (10 cents), and quarters (25 cents). Show that

$$\frac{1}{(1-x)(1-x^5)(1-x^{10})(1-x^{25})}$$

is the generating function whose coefficients are  $A_n$ .

### 4. Generating Functions and Recurrence Relations

- (a) Let the Fibonacci numbers be defined by the recurrence relation

$$F_{n+2} = F_{n+1} + F_n, F_0 = 1, F_1 = 1$$

Find a closed-form generating function for the Fibonacci numbers.

- (b) Given the generating function

$$f(x) = \frac{1+x^2}{1+2x-x^3}$$

find a recurrence relation for the series expansion of  $f(x)$  and prove that your answer is correct.

- (c) Given a generating function

$$f(x) = \sum_{n=0}^{\infty} a_n x^n = \frac{p(x)}{c_0 + c_1x + c_2x^2 + \dots + c_kx^k}$$

where  $p(x)$  is a  $d$ -degree polynomial in  $x$ , prove that the coefficients of the generating function  $a_n$  satisfy the recurrence relation:

$$c_0a_n + c_1a_{n-1} + c_2a_{n-2} + \dots + c_ka_{n-k} = 0; n > d, n \geq k$$

### Combining Regular Expressions and Generating Functions

We define the generating function of a regular expression to be the generating function for a sequence  $a_n$  of the number of strings of length  $n$ . For example, 1111 is  $x^4$ ,  $\epsilon \cup 00 \cup 01 \cup 11$  is  $1 + 3x^2$ , and  $0(\epsilon \cup 0 \cup 1 \cup 11)0$  is  $x^2 + 2x^3 + x^4$ .

5. Let  $A$  and  $B$  be two regular expressions, and let  $f_A(x)$  and  $f_B(x)$  be their generating functions, respectively. Show that the generating function for  $A \circ B$  is  $f_A(x) \cdot f_B(x)$ .
6. Let  $A$  and  $B$  be two regular expressions with  $A \cap B = \emptyset$  (that is,  $A$  and  $B$  don't have any strings in common), and let  $f_A(x)$  and  $f_B(x)$  be their generating functions, respectively. Show that the generating function for  $A \cup B$  is  $f_A(x) + f_B(x)$ .
7. Let  $A$  be a regular expression, and let  $f_A(x)$  be its generating function. What is the generating function for  $A^*$ ?

### Final Task

8. Concisely describe the language of strings described by the regular expression

$$A = (\epsilon \cup 1 \cup 11)((00)^*0(1 \cup 11))^*(\epsilon \cup (00)^*0)$$

9. Find a generating function for  $A$ .
10. Find a recurrence relation for the number of strings in  $A$  of length  $n$ . (Don't worry about providing initial conditions.)